## PNB METLIFE INDIA INSURANCE COMPANY LIMITED Proxy Activities Details July 2021 to September 2021

Meeting Date	Investee Company Name	Type of Meeting(AGM / EGM / PBL)	Proposal of Management/ Shareholders	Description of the proposal	Management Recommendation	Vote(For/Again st/Abstrain)	Reason supporting the vote decision
				To approve revisions to the distribution			
				mechanism of the value receivable by certain			
				creditors of Dewan Housing Finance Corporation Limited (DHFL) under the resolution plan			
				submitted by Piramal Capital & Housing Finance			
				Company Limited (as discussed in the Twenty			
08-07-2021	Dewan Housing Finance Corpn Ltd	ССМ	Management	First Meeting of the Committee of Creditors of DHFL)	FOR	AGAINST	Not in the interest of secured bond holders.
18-07-2021	Corpii Eta	CCIVI	ivianagement	bii Ej	TOK	Adalivsi	secured bond noiders.
				To approve revisions to the distribution			
				mechanism of the value receivable by certain creditors of Dewan Housing Finance Corporation			
				Limited (DHFL) under the resolution plan			
				submitted by Piramal Capital & Housing Finance			
	Dowan Housing Finance			Company Limited (as discussed in the Twenty			Not in the interest of
08-07-2021	Dewan Housing Finance Corpn Ltd	ССМ	Management	First Meeting of the Committee of Creditors of DHFL)	FOR	AGAINST	Not in the interest of secured bond holders.
	·		Ü	,			
				To approve revisions to the distribution			
				mechanism of the value receivable by certain creditors of Dewan Housing Finance Corporation			
				Limited (DHFL) under the resolution plan			
				submitted by Piramal Capital & Housing Finance			
	Dewan Housing Finance			Company Limited (as discussed in the Twenty First Meeting of the Committee of Creditors of			Not in the interest of
08-07-2021	Corpn Ltd	ССМ	Management	DHFL)	FOR	AGAINST	secured bond holders.
				To receive, consider and adopt the audited			
				financial statements (standalone) of the Bank for the			
				financial year			
				ended March 31, 2021 and the Reports of the			
17-07-2021	HDFC Bank Limited	AGM	Management	Board of Directors and Auditors thereon.	FOR	FOR	Compliant with law. No concern identified
17-07-2021	TIDI C Bank Limited	AGIVI	ivianagement	To receive, consider and adopt the audited	TOK	TOK	concern identified
				financial			
				statements (consolidated) of the Bank for the financial year			
				ended March 31, 2021 and the Report of			Compliant with law. No
17-07-2021	HDFC Bank Limited	AGM	Management	Auditors thereon.	FOR	FOR	concern identified
17-07-2021	HDFC Bank Limited	AGM	Management	To declare dividend on Equity Shares.	FOR	FOR	Sufficient funds. No concern identified
17-07-2021	TIDI C Bank Limited	AGIVI	ivianagement	To appoint a director in place of Mr. Srikanth	TOK	TOK	concern identified
				Nadhamuni			
				(DIN 02551389), who retires by rotation and, being eligible,			Compliant with law. No
17-07-2021	HDFC Bank Limited	AGM	Management	offers himself for re-appointment.	FOR	FOR	concern identified
				To ratify the additional audit fees to the			
17-07-2021	HDFC Bank Limited	AGM	Management	Statutory Auditors, MSKA & Associates, Chartered Accountants	FOR	FOR	Compliant with law. No concern identified
17-07-2021	HDFC Bank Limited	AGIVI	ivianagement	To revise the term of office of MSKA &	FUR	FUR	concern identified
				Associates as			Compliant with law. No
17-07-2021	HDFC Bank Limited	AGM	Management	Statutory Auditors of the Bank To appoint M/s. M. M. Nissim & Co. LLP,	FOR	FOR	concern identified
				Chartered Accountants as joint Statutory			Compliant with law. No
17-07-2021	HDFC Bank Limited	AGM	Management	Auditors.	FOR	FOR	concern identified
				Approval for revised remuneration of non- executive directors except for Part Time Non-			Commisses with law No.
17-07-2021	HDFC Bank Limited	AGM	Management	Executive Chairperson.	FOR	FOR	Compliant with law. No concern identified
				To any on the second interest Marillanesh			Non-Compliant with
				To approve the re-appointment Mr. Umesh Chandra Sarangi (DIN 02040436) as an			Section 149 (10) of Companies Act - Prior
				Independent Director for a period of three (3)			approval of shareholders
17-07-2021	HDFC Bank Limited	AGM	Management	years from March 1, 2021 to February 29, 2024.	FOR	AGAINST	not sought
				To approve the appointment Mr. Atanu Chakraborty (DIN 01469375) as a Part time Non-			
				Executive Chairman and Independent Director of			
	uprop. Lu. :: .		<b>.</b>	the Bank for a period of three (3) years			Compliant with law. No
17-07-2021	HDFC Bank Limited	AGM	Management	commencing from May 5, 2021.	FOR	FOR	concern identified.
				To approve the appointment of Dr. (Ms.) Sunita			
				Maheshwari (DIN 01641411) as an Independent			
		1	l	Director for a period of five (5) years from March	1	1	Compliant with law. No
7 07 2024	HDEC Bank Limited	AGM	Management	20, 2021 to March 20, 2020	EOB	EOR	concorn identified
17-07-2021	HDFC Bank Limited	AGM	Management	30, 2021 to March 29, 2026. To ratify and approve the related party	FOR	FOR	concern identified
17-07-2021	HDFC Bank Limited	AGM	Management	30, 2021 to March 29, 2026.  To ratify and approve the related party transactions with  Housing Development Finance Corporation	FOR	FOR	concern identified  Compliant with Law. No major governance

			1	To ratify and approve the related party	I	1	Compliant with Law. No
				To ratify and approve the related party transactions with			governance concern
17-07-2021	HDFC Bank Limited	AGM	Management	HDB Financial Services Limited	FOR	FOR	identified
				To Issue Unsecured Perpetual Debt Instruments			
				(part of			Facility and a last and faci
				Additional Tier I capital), Tier II Capital Bonds and Long			Enabling resolution for issue of perpetual debt
				Term Bonds (financing of infrastructure and			instruments. No
				affordable			governance concern
17-07-2021	HDFC Bank Limited	AGM	Management	housing) on a private placement basis	FOR	FOR	identified
				To consider amendment to the ESOS-Plan D-			
17-07-2021	HDFC Bank Limited	AGM	Management	2007 as approved by the Members	FOR	FOR	Compliant with law. No concern identified
17 07 2021	TIDI C DAIN EIIIICCA	710111	Wanagement	To consider amendment to the ESOS-Plan E-		10	concern acrience
				2010 as			Compliant with law. No
17-07-2021	HDFC Bank Limited	AGM	Management	approved by the Members	FOR	FOR	concern identified
				To consider amendment to the ESOS-Plan F- 2013 as			Compliant with law No
17-07-2021	HDFC Bank Limited	AGM	Management	approved by the Members	FOR	FOR	Compliant with law. No concern identified
17 07 2021	TIDI C DAIN EIIIICCA	710111	Wanagement	To consider amendment to the ESOS-Plan G-		10	concern acritimea
				2016 as			Compliant with law. No
17-07-2021	HDFC Bank Limited	AGM	Management	approved by the Members	FOR	FOR	concern identified
				Audited financial statements of the Corporation			Harveliki ad Financial
				for the financial year ended March 31, 2021 together with			Unqualified Financial Statements. Compliant
	Housing Development			the reports of the Board of Directors			with Accounting
20-07-2021	Finance Corporation Limited	AGM	Management	and Auditors thereon; and	FOR	FOR	Standards
				Audited consolidated financial			Unqualified Financial
	Housing Developer			statements for the financial year ended			Statements. Compliant
20-07-2021	Housing Development Finance Corporation Limited	AGM	Management	March 31, 2021 together with the report of the Auditors thereon.	FOR	FOR	with Accounting Standards
20-07-2021	Tillance corporation climited	Adivi	ivianagement	To declare dividend on equity	TOK	TOK	Standards
	Housing Development			shares for the financial year ended			No governance concern
20-07-2021	Finance Corporation Limited	AGM	Management	March 31, 2021	FOR	FOR	identified.
				To appoint a Director in place of			
	Housing Dovolonment			Mr. Keki M. Mistry (DIN:00008886), who retires by rotation and, being eligible,			Compliant with No governance concern
20-07-2021	Housing Development Finance Corporation Limited	AGM	Management	offers himself for re-appointment	FOR	FOR	identified.
				Revision in the			Compliant with Law. No
	Housing Development			salary range of Ms. Renu Sud Karnad,			governance concern
20-07-2021	Finance Corporation Limited	AGM	Management	Managing Director of the Corporation	FOR	FOR	identified.
				Revision in the			Compliant with Law No.
Housing	Housing Development			salary range of Mr. V. Srinivasa Rangan, Whole-time Director (designated as			Compliant with Law. No governance concern
20-07-2021	Finance Corporation Limited	AGM	Management	'Executive Director') of the Corporation	FOR	FOR	identified.
	·			Re-appointment			
				of Mr. Keki M. Mistry as the Managing			
	Hausing Davalagement			Director (designated as 'Vice Chairman			Compliant with No
20-07-2021	Housing Development Finance Corporation Limited	AGM	Management	& Chief Executive Officer') of the Corporation	FOR	FOR	governance concern identified.
20 07 2021	Timanee corporation Elimited	AGIVI	ividilagement	Approval of	TOK	TOK	identified.
				Related Party Transactions with HDFC			Compliant with Law. No
	Housing Development			Bank Limited, an associate company			major governance
20-07-2021	Finance Corporation Limited	AGM	Management	of the Corporation	FOR	FOR	concern identified.
	Housing Development			Increasing the borrowing limits of the Board of			Compliant with law. No governance concern
20-07-2021	Finance Corporation Limited	AGM	Management	Directors of the Corporation.	FOR	FOR	identified.
	p.			Issuance			1
				of Redeemable Non-Convertible			
				Debentures and/or other hybrid			
20-07-2021	Housing Development Finance Corporation Limited	AGM	Management	instruments on a private placement basis.	FOR	FOR	Compliant with Law. No governance concern.
20-07-2021	i mance corporation timited	AGIVI	wianagement	D0313.	, on	1 OIL	Sovernance concern.
				To consider and adopt the audited financial			
				statements of the Company for the year ended			
				March			
				31, 2021 and the Reports of the Board of			
				Directors and Auditors thereon and the audited			
				consolidated			
				financial statements of the Company and the			
				report			
				of the auditors thereon for the year ended			Unqualified Financial
05-08-2021	Larsen & Toubro Limited	AGM	Management	March 31, 2021	FOR	FOR	statements. No concern identified.
55 50-2021	Larsen & Toubro Limited	,	widinagement		· Ji		Sufficient funds. No
05-08-2021	Larsen & Toubro Limited	AGM	Management	To declare final dividend on equity shares	FOR	FOR	concern identified.
				To appoint a Director in place of Mr. D. K. Sen			
05 00 2021	1 0 T! 11 11 1	4614		(DIN: 03554707), who retires by rotation and is	500	FOR	Compliant with Law. No
05-08-2021	Larsen & Toubro Limited	AGM	Management	eligible for re-appointment	FOR	FOR	major concern
				To appoint a Director in place of Mr. Hemant			
				Bhargava (DIN: 01922717), who retires by			
				rotation			Compliant with Law. No
05-08-2021	Larsen & Toubro Limited	AGM	Management	and is eligible for re-appointment	FOR	FOR	concern identified.

	1		T	1			
				To appoint a Director in place of Mr. M. V. Satish (DIN:			
				06393156), who retires by rotation and is			
				eligible for		505	Compliant with Law. No
05-08-2021	Larsen & Toubro Limited	AGM	Management	re-appointment	FOR	FOR	concern identified.
				To appoint a Director in place of Mr. R. Shankar			Non-compliant with
				Raman (DIN: 00019798), who retires by rotation			Companies Act, 2013.
05-08-2021	Larsen & Toubro Limited	AGM	Management	and is eligible for re-appointment	FOR	AGAINST	Holds more than 10 public directorships
03-08-2021	Larsen & Toubro Limiteu	AGIVI	ivianagement	is eigible for re-appointment	TOK	AGAINST	public directorships
							Governance concern.
							Intent of the Resolution not clear. Governance
							concern regarding
				Appointment of Mrs. Preetha Reddy (DIN:			independence of Ms.
05-08-2021	Larsen & Toubro Limited	AGM	Management	00001871) as a Director	FOR	AGAINST	Preetha Reddy.
							Governance concern.
							Intent of the Resolution
							not clear. Governance
				Appointment of Mrs. Preetha Reddy (DIN:			concern regarding
05-08-2021	Larsen & Toubro Limited	AGM	Management	00001871) as an Independent Director of the Company	FOR	AGAINST	independence of Ms. Preetha Reddy
							No concern over the
							merit of Mr. Sanjeev Aga
				Re-appointment of Mr. Sanjeev Aga (DIN: 00022065)		1	and Mr. Narayanan Kumar, however re-
				as an Independent Director of the Company for a			appointment is not in
05-08-2021	Larsen & Toubro Limited	AGM	Management	term upto May 24, 2021	FOR	AGAINST	accordance with law
							No concern over the
							merit of Mr. Sanjeev Aga and Mr. Narayanan
				Re-appointment of Mr. Narayanan Kumar (DIN:			Kumar, however re-
				00007848) as an Independent Director of the			appointment is not in
05-08-2021	Larsen & Toubro Limited	AGM	Management	Company for a term upto May 26, 2021.	FOR	AGAINST	accordance with law
				Re-appointment of Mr. M. V. Satish (DIN:			
				06393156)			Compliant with Law. No
05-08-2021	Larsen & Toubro Limited	AGM	Management	as the Whole-time Director of the Company	FOR	FOR	concern identified
				Re-appointment of Mr. R. Shankar Raman			Non-compliant with
				(DIN: 00019798) as the Whole-time Director of the			Companies Act, 2013. Holds more than 10
05-08-2021	Larsen & Toubro Limited	AGM	Management	Company	FOR	AGAINST	public directorships
				Issue of convertible bonds and/or equity shares through depository receipts, including by way of			Compliant with law. No
				Qualified Institutions Placement to Qualified			governance concern
05-08-2021	Larsen & Toubro Limited	AGM	Management	Institutional Buyers ('QIB')	FOR	FOR	identified.
				Remuneration and appointment of M/s R.			N
05-08-2021	Larsen & Toubro Limited	AGM	Management	Nanabhoy & Co. Cost Accountants as Cost Auditors	FOR	FOR	No major concern identified.
03 00 2021	Ediscii di Todoro Elimica	7.0	Wanagement	To receive, consider and adopt the standalone			lacritinear
				and			
				consolidated financial statements for the financial			Unqualified Financial
				year ended March 31, 2021 together with the			Statements. Compliant
				Reports			with Accounting
20-08-2021	ICICI Bank Limited	AGM	Management	of the Directors and the Auditors thereon.	FOR	FOR	Standards. Sufficient funds,
20-08-2021	ICICI Bank Limited	AGM	Management	To declare dividend on equity shares.	FOR	FOR	compliant with law.
				To appoint a director in place of Mr. Condo			Appointment compliant with law. No governance
				To appoint a director in place of Mr. Sandeep Bakhshi		1	observed with respect to
			1	(DIN: 00109206), who retires by rotation and,		1	profile, time commitment
20.00.2021	ICICI Parti Unite	ACM		being	FOR	FOR	and attendance of
20-08-2021 IC	ICICI Bank Limited	AGM	Management	eligible, offers himself for re-appointment	FOR	FOR	appointee.
				Appointment of M/s MSKA & Associates,			Appointment compliant
				Chartered Accountants			with the law. No
20-08-2021	ICICI Bank Limited	AGM	Management	(Registration No. 105047W) as one of the Joint Statutory Auditors of the Company	FOR	FOR	governance concern observed.
20-08-2021	ICICI Dank Lillilleu	AGIVI	ivianagement	Appointment of M/s Khimji Kunverji & Co LLP,	ı on	TON	observeu.
				Chartered		1	
				Accountants (Registration No.			Appointment compliant
				105146W/W100621) as one of the Joint Statutory			with the law. No governance concern
20-08-2021	ICICI Bank Limited	AGM	Management	Auditors of the Company	FOR	FOR	observed.
			8	Revised remuneration on the following terms			
			1	and		1	
				conditions for Mr. Sandeep Bakhshi (DIN: 00109206),		1	No concern identified. Remuneration is subject
				Managing Director & Chief Executive Officer (MD			to statutory approval of
				&		1	the RBI. Increase is very
20-08-2021	ICICI Bank Limited	AGM	Management	CEO)	FOR	FOR	nominal.

		1	Т	T	I		1
				Revised remuneration on the following terms			No concern identified.
				and			Remuneration is subject
				conditions for Ms. Vishakha Mulye (DIN: 00203578),			to statutory approval of the RBI. Increase is very
20-08-2021	ICICI Bank Limited	AGM	Management	Executive Director	FOR	FOR	nominal.
				Revised remuneration on the following terms			No concern identified.
				and			Remuneration is subject
				conditions for Mr. Sandeep Batra (DIN: 03620913),			to statutory approval of the RBI. Increase is very
20-08-2021	ICICI Bank Limited	AGM	Management	Executive Director	FOR	FOR	nominal.
							No conseque identified
				Revised remuneration on the following terms			No concern identified.  Remuneration is subject
				and conditions for Mr. Anup Bagchi (DIN:			to statutory approval of
20-08-2021	ICICI Bank Limited	AGM	Management	00105962), Executive Director	FOR	FOR	the RBI. Increase is very nominal.
20 00 2021	icici bunk Elinica	Adm	Wanagement	Excedive birector	TON	TOR	nomina.
							Appointment compliant
							with law. No governance
							observed with respect to
				Re-appointment of Mr. Anup Bagchi (DIN: 00105962), as a Wholetime Director (designated			profile, time commitment, attendance and proposed
				as Executive Director) of the Bank,			remuneration package of
20-08-2021	ICICI Bank Limited	AGM	Management	liable to retire by rotation  To pay compensation in the form of fixed	FOR	FOR	appointee
				remuneration of Rs 2,000,000 per annum to			
				each NonExecutive Director (other than part- time Chairman			Compliant with Law. No
20-08-2021	ICICI Bank Limited	AGM	Management	and the Director	FOR	FOR	governance concern identified.
							Compliant with Law. No
12-07-2021	ESAF SMALL FINANCE BANK	EGM	Management	Initial Public Offer of Equity Shares	FOR	FOR	governance concern identified.
							Compliant with Law. No
12-07-2021	ESAF SMALL FINANCE BANK	EGM	Management	Increase in Limit of Investment by the Non- Resident Indians and Overseas Citizens of India	FOR	FOR	governance concern identified.
12 07 2021	ESTA STATE LITTURE ET ANTE	2011	wanagement	To consider and adopt the Financial Statements	ron		identined.
				of the Company for the financial year ended 31st			
				March, 2021, the Consolidated Financial Statements for the			
				said financial year and the Reports of the Board			
11-08-2021	ITC Limited	AGM	Management	of Directors and the Auditors thereon.	FOR	Abstrain	Routine matters
			Ĭ	To confirm Interim Dividend and declare Final			
11-08-2021	ITC Limited	AGM	Management	Dividend for the financial year ended 31st March, 2021	FOR	Abstrain	Routine matters
				To appoint a Director in place of Mr. Hemant Bhargava (DIN: 01922717) who retires by			
				rotation and,			
11-08-2021	ITC Limited	AGM	Management	being eligible, offers himself for re-election.	FOR	Abstrain	Routine matters
				To appoint a Director in place of Mr. Sumant			
				Bhargavan (DIN: 01732482) who retires by			
11-08-2021	ITC Limited	AGM	Management	rotation and, being eligible, offers himself for re-election.	FOR	Abstrain	Routine matters
			J	Remuneration of Rs.3,40,00,000/- (Rupees			
				Three Crores and Forty Lakhs only) to Messrs. S R B C & CO LLP,			
				Chartered Accountants (Registration No.			
				324982E/E300003), Statutory Auditors of the Company, for conduct			
11-08-2021	ITC Limited	AGM	Management	of audit for the financial year 2021-22	FOR	Abstrain	Routine matters
]				Appointment of Mr. Shyamal Mukherjee (DIN:			
11-08-2021	ITC Limited	AGM	Management	03024803) as an Independent Director of the Company	FOR	Abstrain	Routine matters
				Re-appointment of Mr. Sumant Bhargavan (DIN:			
11-08-2021	ITC Limited	AGM	Management	01732482) as an Wholetime Director of the Company	FOR	Abstrain	Routine matters
11-00-2021							
				Remuneration to Messrs. ABK & Associates, Cost Accountants, appointed by the Board of			
11-08-2021	ITC Limited	AGM	Management	Directors of the Company as the Cost Auditors	FOR	Abstrain	Routine matters
				Pomunoration to Mosers C. Mahadayan C.C.			
				Remuneration to Messrs. S. Mahadevan & Co., Cost Accountants, appointed by the Board of			
11-08-2021	ITC Limited	AGM	Management	Directors of the Company as the Cost Auditors	FOR	Abstrain	Routine matters
	ITC Limited						
	TC Limited			To receive, consider and adopt the audited standalone and consolidated financial			
	TTC Limited			standalone and consolidated financial statements of the Company for the financial year			
	inc timited			standalone and consolidated financial statements of the Company for the financial year ended March 31, 2021 together with the reports			
31-08-2021	Bharti Airtel Limited	AGM	Management	standalone and consolidated financial statements of the Company for the financial year	FOR	Abstrain	Routine matters
31-08-2021 31-08-2021			Management Management	standalone and consolidated financial statements of the Company for the financial year ended March 31, 2021 together with the reports of the Board of Directors and of the Auditors	FOR	Abstrain Abstrain	Routine matters Routine matters

	1	1		T		ı	
				Appointment of Mr. Tao Yih Arthur Lang as a			
31-08-2021	Bharti Airtel Limited	AGM	Management	Director, liable to retire by rotation	FOR	Abstrain	Routine matters
				Appointment of Ms. Nisaba Godrej as an			
31-08-2021	Bharti Airtel Limited	AGM	Management	Independent Director	FOR	Abstrain	Routine matters
				Re-appointment of Mr. Sunil Bharti Mittal as the			
31-08-2021	Bharti Airtel Limited	AGM	Management	Chairman of the Company	FOR	Abstrain	Routine matters
				Payment of commission to Non-Executive			
				Directors (including Independent Directors) of			
31-08-2021	Bharti Airtel Limited	AGM	Management	the Company	FOR	Abstrain	Routine matters
I				Ratification of remuneration to be paid to Sanjay			
				Gupta & Associates, Cost Accountants, Cost			
31-08-2021	Bharti Airtel Limited	AGM	Management	Auditors of the Company for the FY 2021-22	FOR	Abstrain	Routine matters
				Adoption Of Balance Sheet, Profit And Loss			Compliant with Law. No
				Account And The Cash Flow Statement For The			governance concern
29-09-2021	ESAF SMALL FINANCE BANK	AGM	Management	Financial Year Ended 31St March, 2021	FOR	FOR	identified.
23 33-2021	ESAT SIVIALE I INAINCE BAINK	AGIVI	ivianagement	Re-Appointment Of Shri.Chandanathil	I ON	TON	Compliant with Law. No
İ				Pappachan Mohan, Non-Executive Nominee	1		governance concern
		1		1 11			_
29-09-2021	ESAF SMALL FINANCE BANK	AGM	Management	Director	FOR	FOR	identified.
				Appointment Of M/S. Deloitte Haskins And Sells,			
				Chartered Accountants (Frn 117365W) As The			
				Statutory Auditors Of The Bank For Two			
				Consecutive Financial Years And (Fy 2022 And Fy			
				2023) From The Conclusion Of The Fifth Annual			
				General Meeting Till The Conclusion Of The			Compliant with Law. No
				Seventh Annual General Meeting Of The Bank			governance concern
29-09-2021	ESAF SMALL FINANCE BANK	AGM	Management	And Fixing Their Remuneration	FOR	FOR	identified.
23 03 2021	ESAT SWALLTHANKEL BANK	AGIVI	Wanagement	And tixing their remaneration	TOR	TOK	identified.
				Payment Of Variable Pay To Shri.Kadambelil Paul			Compliant with Law. No
				Thomas (Din:00199925), Managing Director And			· ·
20.00.004						500	governance concern
29-09-2021	ESAF SMALL FINANCE BANK	AGM	Management	Ceo Of The Bank	FOR	FOR	identified.
				Revision Of Remuneration Of Shri.Kadambelil			
				Paul Thomas (Din:00199925), Managing Director			
				And Ceo Of The Bank In Line With The			
				Guidelines Issued By The Reserve Bank Of India			
				Vide Circular No: Rbi/ 2019-20/89	1		
				Dor.Appt.Bc.No.23/ 29.67.001/ 2019-20 Dated			
				November 04, 2019 On Compensation Of Whole			Compliant with Law. No
				Time Directors/ Chief Executive Officers/	1		governance concern
29-09-2021	ESAF SMALL FINANCE BANK	AGM	Management	Material Risk Takers And Control Function Staff	FOR	FOR	identified.
	22 Sinite invited Britis		management	Re-Appointment Of Shri. Kadambelil Paul			Compliant with Law. No
				1 11			· ·
	ECAE CAAALL EINIANIGE SAAW	4644		Thomas (Din : 00199925) As The Managing	500	FOR	governance concern
29-09-2021	ESAF SMALL FINANCE BANK	AGM	Management	Director And Ceo Of The Bank	FOR	FOR	identified.
					1		Compliant with Law. No
					1		governance concern
29-09-2021	ESAF SMALL FINANCE BANK	AGM	Management	Alteration In Articles Of Association Of The Bank	FOR	FOR	identified.